

Schedule V

DEUTSCHE BANK SECURITIES INC.
(An Indirect Wholly-Owned Subsidiary of Deutsche Bank AG)
Statement of Segregation Requirements and Funds in Segregation
for Customers' Trading on U.S. Commodity Exchanges

December 31, 2024

(In millions)

Segregation requirements (section 4d(2) of the CEA):

| | |
|--|----------|
| Net ledger balance: | |
| Cash | \$ 2,137 |
| Securities (at market) | 577 |
| Net unrealized profit (loss) in open futures contracts traded on a contract market | 269 |
| Exchange traded options: | |
| Add market value of open option contracts purchased on a contract market | 258 |
| Deduct market value of open option contracts granted (sold) on a contract market | (261) |
| Net equity | 2,980 |
| Add accounts liquidating to a deficit and accounts with debit balances, gross amount | 6 |
| Less amount offset by customer owned securities | (6) |
| Amount required to be segregated | 2,980 |
| Funds in segregated accounts: | |
| Deposited in segregated funds bank accounts: | |
| Cash | 198 |
| Securities representing investments of customers' funds (at market) | - |
| Securities held for particular customers in lieu of cash margins (at market) | 54 |
| Margins on deposit with derivatives clearing organizations of contract markets: | |
| Cash | 2,428 |
| Securities representing investments of customers' funds (at market) | - |
| Securities held for particular customers or option customers in lieu of cash (at market) | 523 |
| Net settlement from (to) derivatives clearing organizations of contract markets | 18 |
| Exchange – traded options: | |
| Add value of open long option contracts | 258 |
| Deduct value of open short option contracts | (261) |
| Net equity with other futures commission merchants: | |
| Net liquidating equity | 1 |
| Securities representing investments of customers' funds (at market) | - |
| Securities held for particular customers or option customers in lieu of cash (at market) | - |
| Total amount in segregation | 3,219 |
| Excess funds in segregation | 239 |
| Management target amount for excess funds in segregation | 100 |
| Excess (deficiency) funds in segregation over (under) management target | |
| Amount excess | \$ 139 |

There are no material differences between the segregation requirements and funds in segregation for customers' trading as of December 31, 2024 included above and the information included in the FOCUS Report Part II filed by the Corporation on January 27, 2025.

Schedule VI

DEUTSCHE BANK SECURITIES INC.

(An Indirect Wholly-Owned Subsidiary of Deutsche Bank AG)

Statement of Secured Amounts and Funds Held in Separate Accounts
for Foreign Futures and Foreign Options Customers
Pursuant to Commission Regulation 30.7

December 31, 2024

(In millions)

Foreign futures and foreign options secured amounts:

Net ledger balance - foreign futures and foreign option trading - all customers:

| | | |
|---|----|--------------|
| Cash | \$ | 531 |
| Securities (at market) | | 195 |
| Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | | 287 |
| Exchange traded options | | |
| Market value of open option contracts purchased on a foreign board of trade | | - |
| Market value of open contracts granted (sold) on a foreign board of trade | | - |
| Net equity (deficit) | | <u>1,013</u> |
| Accounts liquidating to a deficit and accounts with debit balances - gross amount | | 5 |
| Less: amount offset by customer owned securities | | <u>(5)</u> |
| Amount required to be set aside as the secured amount - net liquidating equity method | | <u>1,013</u> |

Funds deposited in separate regulation 30.7 accounts:

Cash in banks:

Banks located in the United States 219

Securities:

In safekeeping with banks located in the United States 110

Amounts held by members of foreign boards of trade:

Cash 416

Securities 85

Unrealized gain (loss) on open futures contracts 287

Value of long option contracts -

Value of short option contracts -

Total funds in separate section 30.7 accounts 1,117

Excess (deficiency) set aside funds for secured amount 104

Management target amount for excess funds in separate section 30.7 accounts 50

Excess (deficiency) funds in separate 30.7 accounts over (under) management target \$ 54

There are no material differences between the secured amounts and funds held in separate accounts for foreign futures and foreign options customers trading as of December 31, 2024 under Regulation 30.7 included in the above and the information included in the FOCUS Report Part II filed by the Corporation on January 27, 2025.

Schedule VII

DEUTSCHE BANK SECURITIES INC.

(An Indirect Wholly-Owned Subsidiary of Deutsche Bank AG)
Statement of Cleared Swaps Customer Segregation Requirements and
Funds in Cleared Swaps Customer Accounts Under 4D(F) of the CEA

December 31, 2024

(In millions)

| | |
|--|------|
| Cleared swaps customer requirements: | |
| Net ledger balance | |
| Cash | \$ - |
| Securities (at market) | - |
| Net unrealized profit (loss) in open cleared swaps | - |
| Net equity (deficit) | - |
| Accounts liquidating to a deficit and accounts with debit balances - gross amount | - |
| Less: amount offset by customer owned securities | - |
| Amount required to be segregated for cleared swaps customers | - |
| Funds in cleared swaps customer segregated accounts: | |
| Deposited in cleared swaps customer segregated accounts at banks | |
| Cash | 4 |
| Securities representing investments of cleared swaps customers' funds (at market) | - |
| Securities held for particular cleared swaps customers in lieu of cash (at market) | - |
| Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts | |
| Cash | 1 |
| Securities representing investments of cleared swaps customers' funds (at market) | - |
| Securities held for particular cleared swaps customers in lieu of cash (at market) | - |
| Net settlement from (to) derivatives clearing organizations | - |
| Total amount in cleared swaps customer segregation | 5 |
| Excess (deficiency) funds in cleared swaps customer segregation | 5 |
| Management Target Amount for Excess funds in cleared swaps segregated accounts | 1 |
| Excess (deficiency) funds in cleared swaps customer segregation accounts over (under) Management Target Excess | \$ 4 |

There are no material differences between the funds in cleared swaps customer accounts under 4D(F) of the CEA as of December 31, 2024 included in the above and the information included in the FOCUS Report Part II filed by the Corporation on January 27, 2025.

See accompanying report of independent registered public accounting firm.