FOCUS Report Part II

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

Items on this page to be reported by: Futures Commission Merchant

SEGREGATION REQUIREMENTS

1. Net ledger balance		
A. Cash	· ·	
B. Securities (at market)	· · · · · · · · \$	
2. Net unrealized profit (loss) in open futures contracts traded on a contract market $\cdots \cdots$	· · · · · · · · \$	(417,924,240) 7030
3. Exchange traded options		
A. Add market value of open option contracts purchased on a contract market	· · · · · · · *	47,458,302 7032
B. Deduct market value of open option contracts granted (sold) on a contract market \cdots	\$(134,771,345) 7033
4. Net equity (deficit) (total of Lines 1, 2, and 3) · · · · · · · · · · · · · · · · · ·	· · · · · · · *	2,928,284,813 7040
5. Accounts liquidating to a deficit and accounts with debit balances		
- gross amount · · · · · · \$		
Less: amount offset by customer owned securities · · · · · · · · · \$	127,610 ⁾ 7047 _{\$}	0 7050
6. Amount required to be segregated (add lines 4 and 5) · · · · · · · · · · · · · · · · · ·	· · · · · · · · \$	2,928,284,813 7060
FUNDS IN SEGREGATED ACCOUNTS		
7. Deposited in segregated funds bank accounts		
A. Cash	· · · · · · · · · \$ —	237,904,953 7070
B. Securities representing investments of customers' funds (at market)	· · · · · · · · \$	7080
C. Securities held for particular customers or option customers in lieu of cash (at market) · · ·	· · · · · · · · \$	46,889,167 7090
8. Margins on deposit with derivatives clearing organizations of contract markets		
A. Cash	· · · · · · · · \$	2,437,743,785
B. Securities representing investments of customers' funds (at market)	· · · · · · · · \$	7110
C. Securities held for particular customers or option customers in lieu of cash (at market) $\cdot\cdot\cdot$	· · · · · · · · \$	
9. Net settlement from (to) derivatives clearing organizations of contract markets · · · · · · · · · · · · · · · · · · ·	· · · · · · · \$	(28,445,151) 7130
10. Exchange traded options		
A. Value of open long option contracts · · · · · · · · · · · · · · · · · · ·		
B. Value of open short option contracts	\$(134,771,345) 7133
11. Net equities with other FCMs		
A. Net liquidating equity	T	
B. Securities representing investments of customers' funds (at market)	· · · · · · · · · \$ —	7160
C. Securities held for particular customers or option customers in lieu of cash (at market) · · ·		7170
12. Segregated funds on hand (describe:) \$	
13. Total amount in segregation (add lines 7 through 12)		
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)		
15. Management Target Amount for Excess funds in segregation · · · · · · · · · · · · · · · · · · ·	····· \$	
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	····· \$	191,385,592 7198

Name of Firm: DEUTSCHE BANK SECURITIES INC.

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FOCUS Report Part II

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS Status: Accepted AND FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER SECTION 4D(F) OF THE COMMODITY EXCHANGE ACT

Items on this page to be reported by: Futures Commission Merchant

CLEARED SWAPS CUSTOMER REQUIREMENTS

1. Net ledger balance			
A. Cash	;	\$	8500
B. Securities (at market)	;	\$	8510
2. Net unrealized profit (loss) in open cleared swaps · · · · · · · · · · · · · · · · · · ·	;	\$	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased · · · · · · · · · · · · · · · · · · ·	;	\$	8530
B. Market value of open cleared swaps option contracts granted (sold)	,	\$ ⁽	8540
4. Net equity (deficit) (add lines 1, 2 and 3)			8550
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount	8560		
Less: amount offset by customer owned securities · · · · · · · · \$ ()	8570	\$	8580
6. Amount required to be segregated for cleared swaps customers (add Lines 4 and 5) · · · · · · · · · · · · · · · · · ·	,	\$	8590
FUNDS IN CLEARED SWAPS CUSTOMER SEGREGATED ACCOUNTS			
7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash	;	\$4,080,100	و 8600
B. Securities representing investment of cleared swaps customers' funds (at market) · · · · · · · · · · · · · · · · · · ·	;	\$	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)			8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash	;	\$1,020,000	ງ [8630]
B. Securities representing investment of cleared swaps customers' funds (at market) · · · · · · · · · · · · · · · · · · ·	;	\$	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)	;	\$	8650
9. Net settlement from (to) derivatives clearing organizations· · · · · · · · · · · · · · · · · · ·	;	\$	8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts······	;	\$	8670
B. Value of open cleared swaps short option contracts · · · · · · · · · · · · · · · · · · ·	;	\$ (_) 8680
11. Net equities with other FCMs			
A. Net liquidating equity	;	\$	8690
B. Securities representing investment of cleared swaps customers' funds (at market) · · · · · · · · · · · · · · · · · · ·		\$	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market) · · · · · · · · · · · · · · · · · · ·	;	\$	8710
12. Cleared swaps customer funds on hand (describe:) ;	\$	8715
13. Total amount in cleared swaps customer segregation (add Lines 7 through 12)	;	\$5,100,100	
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract Line 6 from Line 13) · · · · · ·		\$5,100,100	
15. Management target amount for excess funds in cleared swaps segregated accounts · · · · · · · · · · · · · · · · · · ·	;		
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target	et S	\$4,100,100	ე [8770]

Name of Firm: DEUTSCHE BANK SECURITIES INC.

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2024-12-23 09:05PM EST Status: Accepted

FOCUS Report Part II

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

Items on this page to be reported by a: Futures Commission Merchant

1. Amount required to be segregated in accordance with 17 CFR 32.6 · · · · · · · · · · · · · · · · · · ·	7200
2. Funds/property in segregated accounts	
A. Cash · · · · · · \$	
B. Securities (at market value)	
C. Total funds/property in segregated accounts · · · · · · · · · · · · · · · · · · ·	7230
3 Excess (deficiency) funds in segregation (subtract Line 2C from Line 1) · · · · · · · · · · · · · · · · · ·	7240

Name of Firm: DEUTSCHE BANK SECURITIES INC.

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FOCUS Report Part II

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.7

2024-12-23 09:05PM EST Status: Accepted

Items on this page to be reported by a:

Futures Commission Merchant

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	. \$	305
1. Net ledger balance - Foreign futures and foreign option trading - All Customers		
A. Cash	. \$1,313,000,955 7	315
B. Securities (at market)	. \$ 7	317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	. \$(152,948,343) 7	325
3. Exchange traded options		
A. Market value of open option contracts purchased on a foreign board of trade	. \$	335
B. Market value of open contracts granted (sold) on a foreign board of trade	. \$ 7	337
4. Net equity (deficit)(add lines 1. 2. and 3.)	. \$1,341,853,449 7	345
5. Accounts liquidating to a deficit and accounts with		
debit balances - gross amount \$ 1,213,087 7351		
Less: amount offset by customer owned securities \$(625,382) 7352		354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	. \$1,342,441,154 7	355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$ 1.342.441.154 7	360

Name of Firm: DEUTSCHE BANK SECURITIES INC.

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FOCUS Report Part II

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.7

2024-12-23 09:05PM EST Status: Accepted

Items on this page to be reported by:

Futures Commission Merchant

FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks				
A. Banks located in the United States	\$	365,345,001	7500	
B. Other banks qualified under 17 CFR. 30.7				
Name(s): 7510	\$		7520 \$	365,345,001 7530
2. Securities			_	
A. In safekeeping with banks located in the United States	\$	87,038,472	7540	
B. In safekeeping with other banks designated by 17 CFR. 30.7				
Name(s): 7550	\$		7560 \$	87,038,472 7570
3. Equities with registered futures commission merchants			_	
A. Cash	\$		7580	
B. Securities	\$		7590	
C. Unrealized gain (loss) on open futures contracts	\$		7600	
D. Value of long option contracts	\$		7610	
E. Value of short option contracts	\$()	7615 \$	7620
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): 7630				
A. Cash	\$		7640	
B. Securities			7650	
C. Amount due to (from) clearing organizations - daily variation	\$		7660	
D. Value of long option contracts	\$		7670	
E. Value of short option contracts	\$()	7675 \$	7680
5. Amounts held by members of foreign boards of trade				
Name(s): 7690				
A. Cash	\$	1,229,071,532	7700	
B. Securities	\$		7710	
C. Unrealized gain (loss) on open futures contracts	\$	(152,948,343)	7720	
D. Value of long option contracts	\$		7730	
E. Value of short option contracts	\$()	7735 \$	1,170,885,554 7740
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): 7750			\$_	7760
7. Segregated funds on hand (describe:)		\$_	7765
8. Total funds in separate 17 CFR 30.7 accounts			\$_	1,623,269,027 7770
9. Excess (deficiency) set aside funds for secured amount				
(Line Item 7770 minus Line Item 7360)			\$_	280,827,873 7380
10. Management target amount for excess funds in separate 17 CFR 30.7 ac	ccounts		\$	50,000,000 7780
11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under	r) management	target excess	\$	230,827,873 7785

Name of Firm: DEUTSCHE BANK SECURITIES INC.

As of: 11/30/24