

Items on this page to be reported by: Futures Commission Merchant

SEGREGATION REQUIREMENTS

1. Net ledger balance

| | | | |
|---------------------------|----|---------------|------|
| A. Cash | \$ | 2,817,300,688 | 7010 |
| B. Securities (at market) | \$ | 696,687,308 | 7020 |

2. Net unrealized profit (loss) in open futures contracts traded on a contract market \$ 124,602,413 7030

3. Exchange traded options

| | | | |
|---|----|---------------|------|
| A. Add market value of open option contracts purchased on a contract market | \$ | 494,078,970 | 7032 |
| B. Deduct market value of open option contracts granted (sold) on a contract market | \$ | (554,232,870) | 7033 |

4. Net equity (deficit) (total of Lines 1, 2, and 3) \$ 3,578,436,509 7040

5. Accounts liquidating to a deficit and accounts with debit balances

| | | | |
|--|----|-------------|------|
| - gross amount | \$ | 1,996,448 | 7045 |
| Less: amount offset by customer owned securities | \$ | (1,996,448) | 7047 |
| | | 0 | 7050 |

6. Amount required to be segregated (add lines 4 and 5) \$ 3,578,436,509 7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts

| | | | |
|---|----|-------------|------|
| A. Cash | \$ | 215,272,229 | 7070 |
| B. Securities representing investments of customers' funds (at market) | \$ | | 7080 |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | \$ | 43,502,508 | 7090 |

8. Margins on deposit with derivatives clearing organizations of contract markets

| | | | |
|---|----|---------------|------|
| A. Cash | \$ | 2,823,311,508 | 7100 |
| B. Securities representing investments of customers' funds (at market) | \$ | 100,849 | 7110 |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | \$ | 653,184,800 | 7120 |

9. Net settlement from (to) derivatives clearing organizations of contract markets \$ 55,053,272 7130

10. Exchange traded options

| | | | |
|---|----|---------------|------|
| A. Value of open long option contracts | \$ | 494,078,970 | 7132 |
| B. Value of open short option contracts | \$ | (554,232,870) | 7133 |

11. Net equities with other FCMs

| | | | |
|---|----|---------|------|
| A. Net liquidating equity | \$ | 747,671 | 7140 |
| B. Securities representing investments of customers' funds (at market) | \$ | | 7160 |
| C. Securities held for particular customers or option customers in lieu of cash (at market) | \$ | | 7170 |

12. Segregated funds on hand (describe:) \$ 7150

13. Total amount in segregation (add lines 7 through 12) \$ 3,731,018,937 7180

14. Excess (deficiency) funds in segregation (subtract line 6 from line 13) \$ 152,582,428 7190

15. Management Target Amount for Excess funds in segregation \$ 100,000,000 7194

16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess \$ 52,582,428 7198

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CLEARED SWAPS CUSTOMER REQUIREMENTS

| | | |
|---|------------|------|
| 1. Net ledger balance | | |
| A. Cash | \$ 6,495 | 8500 |
| B. Securities (at market) | \$ 15,496 | 8510 |
| 2. Net unrealized profit (loss) in open cleared swaps | \$ (6,682) | 8520 |
| 3. Cleared swaps options | | |
| A. Market value of open cleared swaps option contracts purchased | \$ | 8530 |
| B. Market value of open cleared swaps option contracts granted (sold) | \$ () | 8540 |
| 4. Net equity (deficit) (add lines 1, 2 and 3) | \$ 15,309 | 8550 |
| 5. Accounts liquidating to a deficit and accounts with debit balances | | |
| - gross amount | \$ 187 | 8560 |
| Less: amount offset by customer owned securities | \$ (187) | 8570 |
| | \$ 0 | 8580 |
| 6. Amount required to be segregated for cleared swaps customers (add Lines 4 and 5) | \$ 15,309 | 8590 |

FUNDS IN CLEARED SWAPS CUSTOMER SEGREGATED ACCOUNTS

| | | |
|---|--------------|------|
| 7. Deposited in cleared swaps customer segregated accounts at banks | | |
| A. Cash | \$ 4,552,722 | 8600 |
| B. Securities representing investment of cleared swaps customers' funds (at market) | \$ | 8610 |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market) | \$ | 8620 |
| 8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts | | |
| A. Cash | \$ 1,020,010 | 8630 |
| B. Securities representing investment of cleared swaps customers' funds (at market) | \$ | 8640 |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market) | \$ 15,496 | 8650 |
| 9. Net settlement from (to) derivatives clearing organizations | \$ (196) | 8660 |
| 10. Cleared swaps options | | |
| A. Value of open cleared swaps long option contracts | \$ | 8670 |
| B. Value of open cleared swaps short option contracts | \$ () | 8680 |
| 11. Net equities with other FCMs | | |
| A. Net liquidating equity | \$ | 8690 |
| B. Securities representing investment of cleared swaps customers' funds (at market) | \$ | 8700 |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market) | \$ | 8710 |
| 12. Cleared swaps customer funds on hand (describe:) | \$ | 8715 |
| 13. Total amount in cleared swaps customer segregation (add Lines 7 through 12) | \$ 5,588,032 | 8720 |
| 14. Excess (deficiency) funds in cleared swaps customer segregation (subtract Line 6 from Line 13) | \$ 5,572,723 | 8730 |
| 15. Management target amount for excess funds in cleared swaps segregated accounts | \$ 1,000,000 | 8760 |
| 16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess | \$ 4,572,723 | 8770 |

FOCUS
Report
Part II

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS

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Status: Accepted

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1. Amount required to be segregated in accordance with 17 CFR 32.6\$ 7200

2. Funds/property in segregated accounts

A. Cash\$ 7210

B. Securities (at market value)\$ 7220

C. Total funds/property in segregated accounts\$ 7230

3. Excess (deficiency) funds in segregation (subtract Line 2C from Line 1)\$ 7240

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FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

| | | | |
|---|-----|---------------|------|
| Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder | \$ | | 7305 |
| 1. Net ledger balance - Foreign futures and foreign option trading - All Customers | | | |
| A. Cash | \$ | 860,876,811 | 7315 |
| B. Securities (at market) | \$ | 204,398,594 | 7317 |
| 2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade | \$ | 102,107,494 | 7325 |
| 3. Exchange traded options | | | |
| A. Market value of open option contracts purchased on a foreign board of trade | \$ | | 7335 |
| B. Market value of open contracts granted (sold) on a foreign board of trade | \$ | | 7337 |
| 4. Net equity (deficit)(add lines 1. 2. and 3.) | \$ | 1,167,382,899 | 7345 |
| 5. Accounts liquidating to a deficit and accounts with | | | |
| debit balances - gross amount | \$ | 606,782 | 7351 |
| Less: amount offset by customer owned securities | \$(| 606,782) | 7352 |
| | \$ | 0 | 7354 |
| 6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | \$ | 1,167,382,899 | 7355 |
| 7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. | \$ | 1,167,382,899 | 7360 |

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD
IN SEPARATE ACCOUNTS FOR FOREIGN FUTURES
AND FOREIGN OPTIONS CUSTOMERS PURSUANT TO CFTC REGULATION 30.7

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FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks

A. Banks located in the United States \$ 204,308,644 7500

B. Other banks qualified under 17 CFR. 30.7

Name(s): 7510 \$ 7520 \$ 204,308,644 7530

2. Securities

A. In safekeeping with banks located in the United States \$ 104,527,138 7540

B. In safekeeping with other banks designated by 17 CFR. 30.7

Name(s): 7550 \$ 7560 \$ 104,527,138 7570

3. Equities with registered futures commission merchants

A. Cash \$ 7580

B. Securities \$ 7590

C. Unrealized gain (loss) on open futures contracts \$ 7600

D. Value of long option contracts \$ 7610

E. Value of short option contracts \$(.....) 7615 \$ 7620

4. Amounts held by clearing organizations of foreign boards of trade

Name(s): 7630

A. Cash \$ 7640

B. Securities \$ 7650

C. Amount due to (from) clearing organizations - daily variation \$ 7660

D. Value of long option contracts \$ 7670

E. Value of short option contracts \$(.....) 7675 \$ 7680

5. Amounts held by members of foreign boards of trade

Name(s): 7690

A. Cash \$ 756,238,162 7700

B. Securities \$ 99,871,456 7710

C. Unrealized gain (loss) on open futures contracts \$ 102,107,494 7720

D. Value of long option contracts \$ 7730

E. Value of short option contracts \$(.....) 7735 \$ 958,217,112 7740

6. Amounts with other depositories designated by a foreign board of trade

Name(s): 7750 \$ 7760

7. Segregated funds on hand (describe:) \$ 7765

8. Total funds in separate 17 CFR 30.7 accounts \$ 1,267,052,894 7770

9. Excess (deficiency) set aside funds for secured amount

(Line Item 7770 minus Line Item 7360) \$ 99,669,995 7380

10. Management target amount for excess funds in separate 17 CFR 30.7 accounts \$ 50,000,000 7780

11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess \$ 49,669,995 7785