Deutsche Bank

Colombo Branch No. 86, Galle Road, Colombo 3 Tel + 94-11-2447062, Fax+ 94-11-2447067

Financial Statements for the period ending 30th September, 2017

(1,169) (3)

(647) 41

871,617

Summarised Income Statement

Statement of Cash Flows - Deutsche Bank Colombo Branch

Item

	Deutsche B	ank Colombo Branch	Deutsche B	ank Group
Item	Current Period from 01.01.17 to 30.09.17 (Rs. '000)	Previous Period from 01.01.16 to 30.09.16 (Rs. '000)	Current Period from 01.01.17 to 30.09.17 (EUR m)	Previous Period from 01.01.16 to 30.09.16 (EUR m)
Interest Income Less: Interest Expenses Net Interest Income Fee and commission income Less: Fee and commission Expense Net fee and commission income	2,090,329 415,487 1,674,841 476,589 15,931 460,658	1,987,321 313,161 1,674,160 488,761 15,644 473,117	17,575 8,014 9,561 - 8,356	19,112 7,971 11,142 - 8,825
Net gain/(loss) from trading Net gain/(loss) from financial instrument designated at fair value through profit or loss Net gain/(loss) from financial investments Other Operating income (net) Total operating income Less: Impairment for loans and other losses	423,228 - 25,406 2,584,134	255,645 - 80,876 2,483,798	- 2,612 381 (172) 20,738	2,111 903 (34) 22,947
Individual impairment Collective impairment Others Net operating income Personnel expenses Depreciation and amortisation Other Expenses Operating profit/(loss) before VAT	(3,739) 2,580,394 261,868 20,241 1,025,033 1,273,253	(1,996) 2,481,803 284,578 29,789 780,380 1,387,056	246 150 20,342 8,874 1,506 7,329 2,633	501 388 9,047 1,521 9,882 1,608
Less: Value added tax (VAT) on financial services Operating profit/(loss) after VAT Share of profits of associates and joint ventures	203,524 1,069,729	129,714 1,257,342	2,633	- 1,608 -
Profit / (loss)before corporate tax Less: Tax expenses Profit /(loss) for the period.	1,069,729 650,468 419,261	1,257,342 375,661 881,681	2,633 944 1,689	1,608 1,071 534
Profit Attributable to : Owners of the parent Non-controlling interest	419,261	881,681	1,664 25	488 47
Earnings per share on profit Basic earnings per ordinary share Diluted earnings per ordinary share.	-	-	Euro 0.72 0.69	Euro 0.15 0.15
Statement of Comprehensive In	ncome for	the Period ended	30th Septer	mber 2017
Item	Rs. '000	Rs. '000	EUR m	EUR m
Profit/(loss) for the period Other Comprehensive income, net of tax Changes in revaluation surplus Remeasurement gains /(losses) related to defined benefit plans, before tax Foreign currency translation	419,261	881,681	1,689 - (229) (2,339)	534 - (977) (1,108)
Gains and losses on re-measuring available-for-sale assets Gains and losses on cash flow hedges Asset classified as held for sale - Unrealised net gains (Loss) arising during the period, before tax	(56,152)	(10,065)	(240) (159)	687 42
Equity Method Investment - Net gains (Loss) arising during the period Less: Tax expense/(income) relating to components of other comprehensive income Other comprehensive income for the year net of taxes Total comprehensive income for the period	<u>(56,152)</u> 363,109		(26) 133 (2,861) (1,172)	13 203 (1,140) (606)
Attributable to :				

Attributable to : Owners of the parent 363,109 Non-controlling interests Statement of Financial Position

	Doutocho Por	k Colombo Bronch	Doutocho Por	ak Croup	Purchase of Additional Equity Components (AT1) Sale of Additional Equity Components (AT1)
Item		nk Colombo Branch	Deutsche Bar		Coupon on additional equity components,pre tax Dividends paid to noncontrolling interests
	Current Period as at 30.09.17 (Rs. '000)	Previous Period as at 31.12.16 (Rs. '000) (Audited)	Current Period as at 30.09.17 (EUR m)	Previous Period as at 31.12.16 (EUR m) (Audited)	Net change in noncontrolling interests Cash dividends paid to Deutsche Bank shareholders Net cash provided by (used in) financing activities Net affect of exchange rate changes on cash and ca Net increase (decrease) in cash and cash equivalent Cash and cash equivalents at beginning of period
Assets					Cash and cash equivalents at end of period Net cash provided by (used in) operating activities in
Cash and cash equivalents	174,746	193,773	204,390	181,364	Income taxes paid, net
Balances with Central Banks Placement with Banks	1,266,830 3,852,197	1,527,673 2,876,325	10,727 10,580	11,606 16,287	Interest paid
Derivative financial instruments	2,885	2,876,325 56,140	372,019	485,150	Interest and dividends received Cash and cash equivalents comprise
Other Financial Assets held for trading	-		-	-	Cash and central bank balances (not included intere
Securities borrowed			23,979	20,081	Interbank balances (w/o central banks) (not include
Financial Assets designated at fair value					€ 5,385 million as of September 30, 2016)
through profit and loss	-	-	282,899	258,631	Total
Group balances receivable Loans and receivables to banks	8,378,710	3,857,143	-	-	5) DB Calamba Branch Analy
Loans and receivables to banks Loans and receivables to other customers	11,458,465	10,499,161	396,237	408,909	5). DB Colombo Branch - Analys
Financial investments - available for sale	17,337,729	18,474,791	51,540	56,228	
Securities held to maturity	-		3,179	3,206	In Rs. '000
Investments in subsidiaries	-	-	-	-	
Equity method investments			915	1,027	Assets
Property, plant and equipment	64,925	76,234	2,772	2,804	Cash and cash equivalents
Investment properties Goodwill and intangible assets	-	-	8,773	8,982	Balances with central banks
Deferred tax assets	31,967	31,967	7,829	8,666	
Other assets	793,984	2,053,556	145,615	127,605	Placements with banks
Total Assets	43,362,438	39,646,763	1,521,454	1,590,546	Derivative financial instruments
					Other financial assets held-for-trading
Liabilities Due to banks	1,215,642				Loans and receivables to other customer
Due to banks Derivative financial instruments	3,360	35,286	348,346	463,858	Financial investments – Available-for-sale
Other Financial liabilities held for trading	-		-		Total financial assets
Financial liabilities designated at fair value					
through profit and loss	-	-	124,559	118,113	
Due to other customers	16,411,579	12,800,170	574,691	550,204	DB Colombo Branch - Analysis
Other borrowings Debt securities issued		-	41,286	46,633	
Current tax liabilities	606,597	278,237	1,074	1,329	In Rs. '000
Deferred tax liabilities	-		396	486	
Other provisions	103,848	109,987	5,025	10,973	
Other liabilities	570,135	229,095	190,792	155,440	Liabilities
Group balances payable	11,645,270	11,878,574	-		Derivative financial instruments
Subordinated term debts /Other long term debt	1,583,310	1,366,655	164,675	178,691	Due to other customers
Total liabilities	32,139,740	26,698,004	1,450,844	1,525,727	
Equity					Due to banks
Stated capital /Assigned capital	4,410,461	4,410,461	44,988	37,296	Subordinated term debt
Statutory reserve fund	595,653	595,653	-	-	Total financial liabilities
Retained earnings	2,116,882	3,756,059	19,787	18,987	
Other reserves	4,099,702 11,222,698	4,186,585 12,948,758	901 65,676	3,550 59,833	
Total shareholders equity	11,222,098	12,948,798	00,070	59,833	
Additional equity components	-	-	4,669	4,669	
Non-controlling interest	-		265	316	DB Group – Long Term Rating 2017 2016
Total equity	11,222,698	12,948,758	70,610	64,819	Moody's Investors Service Baa2 Baa2
Total equity and liabilities	43,362,438	39,646,763	1,521,454	1,590,546	Standard & Poor's BBB- BBB+
Contingent liabilities and commitments	28,811,968	25,648,260	199,779	218,404	Fitch Ratings BBB+ A-
Memorandum Information					
Number of Employees	96 1	95 1	96,817	99,744	
Number of Branches		1	2,434	2,656	

Cash flows from operating activities Profit before tax	1,069,729		1,257,342
Adjustment for	1,000,720		1,207,042
Non cash items included in profit before tax Change in operating assets	463,506 (3,836,633)		480,227 3,657,833
Change in operating lassets	4,457,196		(3,861,140)
Tax paid	(322,108)		(212,296)
Others Net cash generated from operating activities	214,483 2,046,172		71,285 1,392,981
Net cash generated non operating activities			1,002,001
Cash flows from investing activities	(0.000)		(0.4.5.4.0)
Purchase of property, plant and equipment Dividend received from investments	(8,932) 2,171		(24,516) 270
Net cash (used in) from investing activities	(6,760)		(24,246)
Cash flows from financing activities Interest paid on subordinated debt			
Profit transfer to head office	(2,058,439)		(899,798)
Net cash from financing activities	(2,058,439)		(899,798)
Net increase/ (decrease) in cash & cash equivalents	(19,027)		468,937
Cash and cash equivalents at the beginning of the period	193,773		1,139,082
Cash and cah equivalents at the end of the period	174,746		307,605
Deutsche Bank Group - Consolidated Statemen	t of Cash F	lows	İ
ln € m.	Sept. 30, 2017	Sep	t. 30, 2016
Net income (loss)	1,689		534
Cash flows from operating activities: Adjustments to reconcile net income to net cash provided by (used in) operating activities:			
Provision for credit losses	396		891
Restructuring activities Gain on sale of financial assets available for sale, equity method investments, and other	39 (330)		435 (713)
Deferred income taxes, net	344		384
Impairment, depreciation and other amortization, and accretion Share of net income (loss) from equity method investments	1,506 (124)		1,521 (176)
Income (loss) adjusted for noncash charges, credits and other items	3,520		2,876
Adjustments for net change in operating assets and liabilities: Interest-earning time deposits with central banks and with banks w/o central banks	(294)		(1,054)
Central bank funds sold, securities purchased under resale agreements, securities borrowed	943		4,115
Financial assets designated at fair value through profit or loss	(10,929)		(8,776)
Loans Other assets	9,283 (20,203)		3,505 (47,033)
Deposits	27,428		(24,875)
Financial liabilities designated at fair value through profit or loss and investment contract liabilities Central bank funds purchased, securities sold under repurchase agreements and securities loaned	(9,066) (5,379)		10,449 10,159
Other short-term borrowings	1,012		(5,601)
Other liabilities Senior long-term debt	34,565 (12,674)		36,043 2,427
Trading assets and liabilities, positive and negative market values from derivative financial instruments,			32,357
Other, net	3,885		(5,671)
Net cash provided by (used in) operating activities Cash flows from investing activities:	19,587	·	8,921
Proceeds from:	7 400		14 407
Sale of financial assets available for sale Maturities of financial assets available for sale	7,490 5,796		14,407 4,649
Maturities of securities held to maturity	-		-
Sale of equity method investments Sale of property and equipment	39 60		36 217
Purchase of:			
Financial assets available for sale Securities held to maturity	(11,156)		(16,996)
Equity method investments	(8)		(17)
Property and equipment	(421) 93		(435) 239
Net cash received in (paid for) business combinations/divestitures <u>Other, net</u>	(891)		(1,031)
Net cash provided by (used in) investing activities	1,002		1,069
Cash flows from financing activities: Issuances of subordinated long-term debt	52		784
Repayments and extinguishments of subordinated long-term debt	(134)		(1,001)
Issuances of trust preferred securities Repayments and extinguishments of trust preferred securities	(401)		98 (839)
Common shares issued	8,037		-
Purchases of treasury shares Sale of treasury shares	(7,135)		(3,750) 3,470
Sale of treasury stilles	6,678		-
Additional Equity Components (AT1) issued	6,678		(145)
	6,678 - (145)		145
Additional Equity Components (AT1) issued Purchase of Additional Equity Components(AT1) Sale of Additional Equity Components (AT1) Coupon on additional equity components.pre tax	6,678 - (145) 147 (335)		145 (333)
Additional Equity Components (AT1) issued Purchase of Additional Equity Components (AT1) Sale of Additional Equity Components (AT1) Coupon on additional equity components.pre tax Dividends paid to noncontrolling interests	6,678 - (145) 147 (335) (11)		145 (333) (11)
Additional Equity Components (AT1) issued Purchase of Additional Equity Components (AT1) Sale of Additional Equity Components (AT1) Coupon on additional equity components.pre tax Dividends paid to noncontrolling interests d Net change in moncontrolling interests Cash dividends paid to Deutsche Bank shareholders	6,678 (145) 147 (335) (11) (38) (392)		145 (333) (11) (17)
Additional Equity Components (AT1) issued Purchase of Additional Equity Components (AT1) Sale of Additional Equity Components (AT1) Coupon on additional equity components.pre tax Dividends paid to noncontrolling interests d Net change in noncontrolling interests Cash dividends paid to Deutsche Bank shareholders Net cash provided by (used in) financing activities	6,678 (145) 147 (335) (11) (38) (392) 6,326		145 (333) (11) (17) - (1,599)
Additional Equity Components (AT1) issued Purchase of Additional Equity Components (AT1) Sale of Additional Equity Components (AT1) Coupon on additional equity components.pre tax Dividends paid to poncontrolling interests d Net change in noncontrolling interests Cash dividends paid to Deutsche Bank shareholders Net cash provided by (used in) financing activities Net effect of exchange rate changes on cash and cash equivalents Net increase (decrease) in cash and cash equivalents	6,678 - (145) 147 (335) (11) (38) (392) 6,326 (4,538) 22,337		145 (333) (11) (17) - - (1,599) (395) 7,997
Additional Equity Components (AT1) issued Purchase of Additional Equity Components (AT1) Sale of Additional Equity Components (AT1) Coupon on additional equity components (AT1) Dividends paid to noncontrolling interests Dividends paid to noncontrolling interests Cash dividends paid to Deutsche Bank shareholders Net cash provided by (used in) financing activities Net effect of exchange rate changes on cash and cash equivalents Net increase (decrease) in cash and cash equivalents Cash and cash equivalents at beginning of period	6,678 		145 (333) (11) (17) (1,599) (395) 7,997 105,478
Additional Equity Components (AT1) issued Purchase of Additional Equity Components (AT1) Sale of Additional Equity Components (AT1) Coupon on additional equity components.pre tax Dividends paid to noncontrolling interests d Net change in noncontrolling interests cash dividends paid to Deutsche Bank shareholders Net cash provided by (used in) financing activities Net effect of exchange rate changes on cash and cash equivalents Net increase (decrease) in cash and cash equivalents	6,678 (145) (147) (335) (11) (38) (392) 6,326 (4,589) 22,337 185,649 208,028		145 (333) (11) (17) - (1,599) (395) 7,997 105,478 113,473
Additional Equity Components (AT1) issued Purchase of Additional Equity Components (AT1) Sale of Additional Equity Components (AT1) Coupon on additional equity components, pre tax Dividends paid to noncontrolling interests d Net change in noncontrolling interests Met cash provided by (used in) financing activities Net cash provided by (used in) financing activities Net increase (decrease) in cash and cash equivalents Cash and cash equivalents at end of period Cash and cash equivalents at end of period Net cash provided by (used in) financing of period Cash and cash equivalents at end of period Net cash provided by (used in) operating activities including Income taxes paid, net	6,678 - (145) 147 (335) (11) (38) (392) 6,326 (4,538) 22,337 185,649 208,028 		145 (333) (11) (17)
Additional Equity Components (AT1) issued Purchase of Additional Equity Components (AT1) Sale of Additional Equity Components (AT1) Coupon on additional equity components, pre tax Dividends paid to noncontrolling interests d Net change in noncontrolling interests Met cash provided by (used in) financing activities Net effect of exchange rate changes on cash and cash equivalents Net increase (accrease) in cash and cash equivalents Cash and icash equivalents at beginning of period Cash and cash equivalents at beginning of period Net cash provided by (used in) fiperiod Cash and cash equivalents at beginning of period Income taxes paid, net Interest paid Interest and dividends received	6,678 (145) (147) (335) (11) (38) (392) 6,326 (4,589) 22,337 185,649 208,028		145 (333) (11) (17) - (1,599) (395) 7,997 105,478 113,473
Additional Equity Components (AT1) issued Purchase of Additional Equity Components (AT1) Sale of Additional Equity Components (AT1) Coupon on additional equity components (AT1) Dividends paid to noncontrolling interests d Net cash provided by (used in) financing activities Net cash provided by (used in) financing activities Net tiffect of exchange rate changes on cash and cash equivalents Net increase (decrease) in cash and cash equivalents Cash and cash equivalents at end of period Cash and cash equivalents at end of period Increase paid, net Interest paid Interest paid Cash and cash equivalents comprise	6,678 - (145) 147 (335) (11) (38) (392) 6,326 (4,538) 22,337 185,649 208,028 - 540 8,185 17,655		145 (333) (11) (17) (1599) 7,997 105,478 113,473 1,126 8,270 19,321
Additional Equity Components (AT1) issued Purchase of Additional Equity Components (AT1) Sale of Additional Equity Components (AT1) Coupon on additional equity components, pre tax Dividends paid to noncontrolling interests d Net change in noncontrolling interests Met cash provided by (used in) financing activities Net effect of exchange rate changes on cash and cash equivalents Net increase (accrease) in cash and cash equivalents Cash and icash equivalents at beginning of period Cash and cash equivalents at beginning of period Net cash provided by (used in) fiperiod Cash and cash equivalents at beginning of period Income taxes paid, net Interest paid Interest and dividends received	6,678 - (145) 147 (335) (11) (38) (392) 6,326 (4,538) 22,337 185,649 208,028 540 8,185 17,655 - 201,291		145 (333) (11) (17)
Additional Equity Components (AT1) issued Purchase of Additional Equity Components (AT1) Sale of Additional Equity Components (AT1) Coupon on additional equity components (AT1) Coupon on additional equity components (AT1) Coupon on additional equity components (AT1) Cash dividenal Equity Components (AT1) Cash dividends paid to noncontrolling interests d Net cash provided by (used in) financing activities Net cash provided by (used in) financing activities Net increase (decrease) in cash and cash equivalents Cash and cash equivalents at end of period Cash and cash equivalents comprise Cash and cash equivalents comprise Cash and central bank balances (not included interest -earning time deposits with central, banks Interbank balances (w/o central banks) (not included : time deposits of € 7,205 million as of September 3 £ 5,335 million as of September 2016)	6,678 - (145) 147 (335) (11) (38) (392) 6,326 (4,589) 22,337 185,649 208,028 540 8,185 17,655 201,291 30,2017, and 6,737		145 (333) (11) (17) (1599) (395) 7,997 105,478 113,473 11,126 8,270 19,321 106,166 7,307
Additional Equity Components (AT1) issued Purchase of Additional Equity Components (AT1) Sale of Additional Equity Components (AT1) Coupon on additional equity components (AT1) Dividends paid to noncontrolling interests d Net cash provided by (used in) financing activities Net effect of exchange rate changes on cash and cash equivalents Net effect of exchange rate changes on cash and cash equivalents Net increase (decrease) in cash and cash equivalents Cash and cash equivalents at end of period Cash and cash equivalents at end of period Incore taxes paid, net Interest paid Interest paid Interest and dividends received Cash and cash equivalents comprise Cash and central bank balances (not included interest -earning time deposits with central, banks Interbeak balances (voc central banks) (not included : time deposits of €7,205 million as of September 3	6,678		145 (333) (11) (17) (1,599) (395) 7,997 105,478 113,473 1,126 8,270 19,321 106,166
Additional Equity Components (AT1) issued Purchase of Additional Equity Components (AT1) Sale of Additional Equity Components (AT1) Coupon on additional equity components (AT1) Dividends paid to noncontrolling interests d Net cash provided by (used in) financing activities Net cash provided by (used in) financing activities Net effect of exchange rate changes on cash and cash equivalents Net infect ask quivalents at end of period Cash and cash equivalents at end of period Cash and cash equivalents at end of period Interest paid Interest paid Interest and dividends received Cash and cash equivalents at end of period Sah and cash equivalents at end of period Cash and cash equivalents at end of period Interest paid Interest paid Interest and dividends received Cash and cash equivalents comprise Cash and central bank balances (not included interest -earning time deposits with central, banks Interbank balances (wo central banks) (not included : time deposits of €7,205 million as of September 30, 2016) Total	6,678		145 (333) (11) (17) (395) (395) (395) (395) (1,599) 105,478 11,3,473 1,126 8,270 19,321 106,166 7,307
Additional Equity Components (AT1) issued Purchase of Additional Equity Components (AT1) Sale of Additional Equity Components (AT1) Coupon on additional equity components (AT1) Coupon on additional equity components.pre tax Dividends paid to noncontrolling interests d Net cash provided by (used in) financing activities Net cash provided by (used in) financing activities Net cash equivalents at each equivalents Cash and cash equivalents at each equivalents Net cash provided by (used in) operating activities including Income taxes paid, net Interest and dividends received Cash and cash equivalents comprise Cash and cash equivalents comprise Cash and cash equivalents comprise Cash and central bank balances (not included interest -earning time deposits with central, banks Interbank balances (w/o central banks) (not included : time deposits of € 7,205 million as of September 3	6,678		145 (333) (11) (17) (1,599) 7,997 105,478 113,473 1,126 8,270 19,321 106,166 7,307 113,473
Additional Equity Components (AT1) issued Purchase of Additional Equity Components (AT1) Sale of Additional Equity Components (AT1) Coupon on additional equity components (AT1) Dividends paid to noncontrolling interests d Net cash provided by (used in) financing activities Net cash provided by (used in) financing activities Net effect of exchange rate changes on cash and cash equivalents Net infect ask quivalents at end of period Cash and cash equivalents at end of period Cash and cash equivalents at end of period Interest paid Interest paid Interest and dividends received Cash and cash equivalents at end of period Sah and cash equivalents at end of period Cash and cash equivalents at end of period Interest paid Interest paid Interest and dividends received Cash and cash equivalents comprise Cash and central bank balances (not included interest -earning time deposits with central, banks Interbank balances (wo central banks) (not included : time deposits of €7,205 million as of September 30, 2016) Total	6,678		145 (333) (11) (17) (1599) (395) 7,997 105,478 113,473 11,126 8,270 19,321 106,166 7,307

1). Loans and Receivables to Other Customers	Deutsche Bank	Colombo
	Current Period	Previous perio
In Rupees Thousand	as at	as at
	30th Sep. 2017	31st Dec. 2010
Gross loans and receivables	11,526,231	10,570,559
(Less): Individual impairment charges	-	-
Collective impairment charges	(67,766)	(71,398
Net loans and receivables including those designated	11,458,465	10,499,161
at fair value through profit or loss	-	-
Less: Loans and receivables designated at		
fair value through profit or loss	-	-
Net loans and receivables	11,458,465	10,499,161
	uct Deutsche Bank Current Period as at	
	Current Period	Previous perio as at
In Rupees Thousand	Current Period as at	Previous perio as at
In Rupees Thousand	Current Period as at	Previous perio as at
In Rupees Thousand By product - Domestic Currency	Current Period as at 30th Sep. 2017	Previous perio as at 31st Dec. 2010 4,479,161
In Rupees Thousand By product - Domestic Currency Overdrafts	Current Period as at 30th Sep. 2017 4,375,655	Previous perio as at 31st Dec. 2011 4,479,161 853,326
In Rupees Thousand By product - Domestic Currency Overdrafts Trade Loans Staff Loans Supplier Finance & Supplier Invoice Finance	Current Period as at 30th Sep. 2017 4,375,655 179,430	Previous perio as at 31st Dec. 2010
In Rupees Thousand By product - Domestic Currency Overdrafts Trade Loans Staff Loans	Current Period as at 30th Sep. 2017 4,375,655 179,430 174,139	Previous perio as at 31st Dec. 2011 4,479,161 853,326 141,177
In Rupees Thousand By product - Domestic Currency Overdrafts Trade Loans Staff Loans Supplier Finance & Supplier Invoice Finance Bill Discouting	Current Period as at 30th Sep. 2017 4,375,655 179,430 174,139	Previous perio as at 31st Dec. 2011 4,479,161 853,326 141,177
In Rupees Thousand By product - Domestic Currency Overdrafts Trade Loans Staff Loans Supplier Finance & Supplier Invoice Finance Bill Discouting Sub Total	Current Period as at 30th Sep. 2017 4,375,655 179,430 174,139 2,114,606	Previous perio as at 31st Dec. 2014 4,479,161 853,326 141,177 2,024,305
In Rupees Thousand By product - Domestic Currency Overdrafts Trade Loans Staff Loans Supplier Finance & Supplier Invoice Finance Bill Discouting Sub Total By product -Foreign Currency Overdrafts	Current Period as at 30th Sep. 2017 4,375,655 179,430 174,139 2,114,606	Previous perio as at 31st Dec. 2014 4,479,161 853,326 141,177 2,024,305
In Rupees Thousand By product - Domestic Currency Overdrafts Trade Loans Staff Loans Supplier Finance & Supplier Invoice Finance Bill Discouting Sub Total By product -Foreign Currency Overdrafts Supplier Finance & Supplier Invoice Finance	Current Period as at 30th Sep. 2017 4,375,655 179,430 174,139 2,114,606 6,843,829	Previous perio as at 31st Dec. 2011 4,479,161 853,326 141,177 2,024,305 7,497,969
In Rupees Thousand By product - Domestic Currency Overdrafts Trade Loans Staff Loans Supplier Finance & Supplier Invoice Finance Bill Discouting Sub Total By product -Foreign Currency Overdrafts	Current Period as at 30th Sep. 2017 4,375,655 179,430 174,139 2,114,606 - 6,843,829 921,122	Previous perio as at 31st Dec. 2011 4,479,161 853,326 141,177 2,024,305 7,497,969
In Rupees Thousand By product - Domestic Currency Overdrafts Trade Loans Staff Loans Supplier Finance & Supplier Invoice Finance Bill Discouting Sub Total By product -Foreign Currency Overdrafts Supplier Finance & Supplier Invoice Finance Trade Loans Bill Discouting	Current Period as at 30th Sep. 2017 4,375,655 179,430 174,139 2,114,606 - 6,843,829 921,122 0 3,761,279	Previous perio as at 31st Dec. 2011 4,479,161 853,326 141,177 2,024,305 - 7,497,969 580,806 - 2,491,784
Trade Loans Staff Loans Staff Loans Supplier Finance & Supplier Invoice Finance Bill Discouting Sub Total By product -Foreign Currency Overdrafts Supplier Finance & Supplier Invoice Finance Trade Loans	Current Period as at 30th Sep. 2017 4,375,655 179,430 174,139 2,114,606 6,843,829 921,122 0	Previous perio as at 31st Dec. 2010 4,479,161 853,326 141,177 2,024,305 7,497,969 580,806

3). Movements in Individual and Collective Impairment						
during the period for Loans and Receivables to						
Other Customers	Deutsche Bank Colombo					
	Current Period	Previous perio				
In Rupees Thousand	as at	as at				
	30th Sep. 2017	31st Dec. 2016				
Individual impairment charges						
Opening balance as at 1st January	-	-				
Charge/(Write back) to income statement	-	-				
Write off during the year	-	-				
Other movements	-	-				
Closing balance	-	-				
Collective impairment charges						
Opening balance as at 1st January	71,398	60,075				
Charge/(Write back) to income statement	(3,632)	7,187				
Other movements	-	4,136				
Closing balance	67,766	71,398				
Total impairment	67,766	71,398				

4). Due to Other Customers - By Product	Deutsche I	Bank Colombo
In Rupees Thousand	as at	as at
	30th Sep.	2017 31st Dec. 2016
By product -Domestic Currency		
Demand deposits (current accounts)	4,207,1	5,461,560
Saving deposits	2,661,2	264 2,601,759
Margin Deposits	176,1	171 28,525
Fixed	3,190,7	1,901,028
Sub total	10,235,3	9,992,872
By product -Foreign Currency		
Demand deposits (current accounts)	4,741,1	2,678,006
Saving deposits		
Margin Deposits		
Fixed	Current Period as at 30th Sep. 2017 Previous per as at 31st Dec. 20) 4,207,178 5,461,56 2,661,264 2,601,78 1,901,02 176,171 28,52 3,190,785 1,901,02 10,235,399 9,992,87 - - 1,435,014 129,25 - - 1,435,014 129,25 - -	
Sub total	6,176,1	2,807,298
Total	16,411,5	579 12,800,170

	As at 30th Se	eptember 2	017		As at 31st December 2016				
In Rs. '000	Loans and Receivables (L&R)	Held for Trading (HFT)	Available for Sale (AFS)	Total	Loans and Receivables (L&R)	Held for Trading (HFT)	Available for Sale (AFS)	Total	
Assets									
Cash and cash equivalents	174,746	-	-	174,746	193,773	-	-	193,773	
Balances with central banks	1,266,830	-	-	1,266,830	1,527,673	-	-	1,527,673	
Placements with banks	3,852,197	-	-	3,852,197	2,876,325	-	-	2,876,325	
Derivative financial instruments	-	2,885	-	2,885	-	56,140	-	56,140	
Other financial assets held-for-trading	-	-	-	-	-	-	-	-	
Loans and receivables to other customers	11,458,465	-	-	11,458,465	10,499,161	-	-	10,499,161	
Financial investments – Available-for-sale	-	-	17,337,729	17,337,729	-	-	18,474,791	18,474,791	
Total financial assets	16,752,237	2,885	17,337,729	34,092,852	15,096,932	56,140	18,474,791	33,627,863	

For the period ended 30.09.17 (Rs. '000)

For the period ended 30.09.16 (Rs. '000)

DB Colombo Branch - Analysis of Financial Instruments by measurement basis



In Rs. '000		eptennoer z	.017	As at orst	2010	
		Held for Trading (HFT)	Total	Deposits at amortised cost	Held for Trading (HFT)	Total
Liabilities						
Derivative financial instruments	-	3,360	3,360	-	35,286	35,286
Due to other customers	16,411,579	-	16,411,579	12,800,170	-	12,800,170
Due to banks	1,215,642	-	1,215,642	-	-	-
Subordinated term debt	1,583,310	-	1,583,310	1,366,655	-	1,366,655
Total financial liabilities	19,210,531	3,360	19,213,891	14,166,825	35,286	14,202,111

Selected Performance Indicators

 Long Term Ratir 	ng 2017 2016						
nvestors Service	Baa2 Baa2	De	utsche Ban	k Colombo Branch		Deutsche	Bank Group
& Poor's Igs	BBB- BBB+ BBB+ A-	Item	Current Period as at 30.09.17 (Rs. '000)	Previous Period as at 31.12.16 (Rs. '000)	ltem	Current Period as at 30.09.17 (EUR bn)	Previous Period as at 31.12.16 (EUR bn)
er 2017 — in	n Rs. '000	Regulatory Capital Common Equity Tier I Tier I Capital Total Capital Regulatory Capital Ratios	10,405,308 10,405,308 10,405,308	12,468,591 12,468,591 12,468,591	Common Equity Tier 1 capital Total regulatory capital CET1 capital ratio Tier I capital ratio	54 65 13.8% 15.1%	46 60 11.8% 13.1%
Total Other Reserves	Total Equity	Common Equity Tier I Capital Ratio (Minimum requirement - 5.75% since Assets < Rs. 500 bn) Tier I Capital Ratio (Minimum requirement - 7.25 %	46.61%	64.15%	Total regulatory capital ratio Post Tax return on average	18.4%	16.6%
		since Assets < Rs. 500 bn)	46.61%	64.15%	shareholders, equity	3.9%	1.4%
4,186,585	12,948,758	Total Capital Ratio (Minimum requirement - 11.25 % since Assets < Rs. 500 bn)	46.61%	64.15%			
-	419,261	Leverage Ratio (Minimum requirement - 3 %) Assets Quality (Quality of Loan Portfolio)	20.92%	28.20%			
(56,152)	(56,152)	Gross Non - Performing Advances Ratio, % (net Interest in Suspense)	0.00%	0.00%			
-	-	Net Non - Performing Advances Ratio, % (net of Interest in Suspense and Provision)	0.00%	0.00%			
(56,152)	363,109	Profitability	E 400/	E 400/			
		Interest Margin, % Return on Assets (before Tax), %	5.18% 3.31%	5.46% 3.66%			
-	-	Return on Equity, %	4.24%	9.56%			
24,866	24,866	Regulatory Liquidity Statutory Liquid Assets Statutory Liquid Assets Ratio,	30,879,494	29,622,817			
(55,597)	(55,597)	% (Minimum requirement 20 %) Domestic Banking unit Off-Shore Banking unit	96.86% 107.88%	96.13% 104.43%			
-	(2,058,439)	Liquidity Coverage Ratio (%) -		104.43%			
-	-	Rupee (Minimum requirement - 80%)	796.29%	1634.40%			
4,099,702	11,222,698	Liquidity Coverage Ratio (%) - All Currency (Minimum requirement - 80%)	344.01%	1110.91%			

Deutsche Bank AG. Colombo Branch, Statement of Changes in Equity for the Period Ended 30th September

					0	ther Reserv	es		
	Assigned Capital	Statutory Reserves	Retained Earnings	Available for Sale Reserves	Exchange Equalization of Capital	Exchange Equalization Reserves	Special Reserves	Total Other Reserves	Total Equity
Balance as at 01st January 2017	4,410,461	595,653	3,756,059	(4,828)	282,583	165,617	3,743,213	4,186,585	12,948,758
Profit for the period	-	-	419,261	-	-	-	-	-	419,261
Other comprehensive income (net of tax)	-	-	-	(56,152)	-	-	-	(56,152)	(56,152)
Acturial loss in defined benefits plan	-	-	-	-	-	-	-	-	-
Total comprehensive income for the period	-	-	419,261	(56,152)	-	-	-	(56,152)	363,109
Transactions recognised directly in equity									
Transfer to reserve fund	-	-	-	-	-	-	-	-	-
Exchange rate equalization of capital	-	-	-	-	24,866	-	-	24,866	24,866
Exchange rate effect of currency translation of									
income & reserve	-	-	-	-	-	(55,597)	-	(55,597)	(55,597)
Profit transfer to Head Office	-	-	(2,058,439)	-	-	-	-	-	(2,058,439)
Other Reserves	-	-	-	-	-	-		-	-
Balance as of 30th September 2017	4,410,461	595,653	2,116,882	(60,980)	307,449	110,020	3,743,213	4,099,702	11,222,698

Deutsche Bank AG Group Consolidated Statement of Changes in Equity - in Euro m

	Common shares (no par value)	Additional paid in capital	Retained earnings	Common shares in treasury, at cost	Unrealised net gains (losses) on fin assets afs, net of tax & other	Unrealized net gains (losses) on derivatives hedging variability of cash flows, net of tax	Foreign currency translation net of tax	Unrealized net gains (losses) from equity method investments	Accumulated other comprehensive income (loss) net of tax	Total shareholder's equity	Additional equity components	Non- controlling interest	Total Equity
Balance as at 01st January 2017	3,531	33,765	18,987	-	912	143	2,418	77	3,550	59,833	4,669	316	64,819
Total comprehensive income(loss), net of tax	-	-	1,664	-	(200)	(115)	(2,308)	(26)	(2,649)	(985)	-	(3)	(988)
Common shares issued	1,760	6,277	-	-	-	-	-	-	-	8,037	-	-	8,037
Cash dividends paid	-	-	(392)	-	-	-	-	-	-	(392)	-	(11)	(403)
Coupon on additional equity components, net of tax	-	-	(288)	-	-	-	-	-	-	(288)	-	-	(288)
Remeasurement gain (losses) related to defined													
benefit plans, net of tax	-	-	(184)	-	-	-	-	-	-	(184)	-	-	(184)
Net change in share awards in the reporting period	-	(245)	-	-	-	-	-	-	-	(245)	-	-	(245)
Treasury shares distributed under share-based													
compensation plans	-	-	-	436	-	-	-	-	-	436	-	-	436
Option premiums and other effects from options on													
common shares	-	(104)	-	-	-	-	-	-	-	(104)	-	-	(104)
Purchase of treasury shares	-	-	-	(7,135)	-	-	-	-	-	(7,135)	-	-	(7,135)
Sale of treasury shares	-	-	-	6,681	-	-	-	-	-	6,681	-	-	6,681
Net gains (losses) on treasury shares sold	-	12	-	-	-	-	-	-	-	12	-	-	12
Other	-	10	-	-	-	-	-	-	-	10	-	(38)	(28)
Balance as of 30th September 2017	5,291	39,715	19,787	(18)	712	28	110	50	901	65,676	4,669	265	70,610

DB Group Consolidated have been extracted from the DB Group financial statements, which were prepared based on IFRSs as adopted by the EU, and additional requirements of German commercial law.

Certification

We, the undersigned, being the Chief Country Officer and Head of Finance of Deutsche Bank AG , Sri Lanka certify jointly that:-

- (a) the above statements have been prepared in compliance with the format and definitions prescribed by the Central Bank of Sri Lanka
- (b) the information contained in these statements have been extracted from un-audited financial statements of the bank unless indicated as audited.

(Sgd.) Rohan Rodrigo Chief Country Officer 20th October, 2017

(Sgd.) Evelyn Mohamed Head of Finance 20th October, 2017